

# Non-motion-compensated region-based dirt detection for film archive restoration

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**Abstract.** A novel non-motion-compensated method is proposed for dirt detection in archived film sequences. A confidence measurement extracted from raw differences between current frame and each of the previous and next frames is used to exploit the temporally impulsive nature of dirt impairments. Further evolution of the confidence signal enables the minimization of false alarms and the fine-tuning of detector sensitivity. After morphological filtering and consistency checks candidate regions of dirt emerge, enabling the computation of binary detection masks. Our experiments show that our method compares favorably with extended spike detection index (SDIp), rank order detector (ROD), and lower-upper-middle (LUM) approaches and provides efficient and robust detection performance for a wide range of archived film material. © 2006 Society of Photo-Optical Instrumentation Engineers. [DOI: 10.1117/1.2338592]

Subject terms: Film dirt detection; archive restoration; video signal processing; video enhancement.

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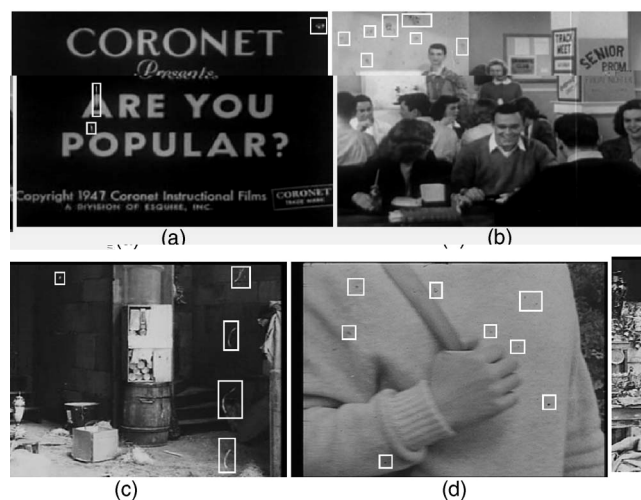
## 1 Introduction

Film archive restoration is a key enabling technology toward facilitating access to historical footages. By reducing the perceptual impact of archive-related impairments, restoration with improved quality can meet viewers' aesthetic expectations and enrich their viewing experiences. Furthermore, the suppression of these impairments has vital implications on the efficiency of video coding algorithms used in the television and multimedia context such as MPEG-2. Consequently, it has recently attracted a lot of interest and several high-profile collaborative projects have received European Union (EU) funding such as AURORA (automatic restoration of original film and video archives, 1995), BRAVA (broadcast restoration of archives by video analysis, 1999) and more recently PrestoSpace (preservation toward storage and access standardised practices for audiovisual contents in Europe, 2004).

In their lifetime, films may suffer impairments due to environmental hazards such as humidity and dust, chemical instabilities, improper storage and handling practices, and even poorly maintained projectors.<sup>1-4</sup> In this paper, our focus is on the detection of impairments occasionally referred to as dirt. These are among the most commonly encountered artifacts and hence their successful detection is a priority issue in any archive restoration system.<sup>1</sup> In general, dirt is a temporally impulsive (single-frame) event, appearing mostly as dark or bright opaque spots of random size, shape, and location. It is due to particles that are attached to the film or localized abrasions that occurred during storage or when the film passed through various transport mechanisms.<sup>1,3</sup> Figure 1 gives some examples of dirt which are shown bounded by rectangles.

In this paper, we propose an efficient and robust method

for film dirt detection. Compared with other methods in the literature, a unique feature of our algorithm is that a confidence measure is derived for dirt detection, which is also attached to the detected results. This is an invaluable feature toward both automatic and operator-assisted dirt concealment as it enables a variable degree of treatment according to preference. A second attractive feature of our scheme is that we detect dirt as regions consisting of hard core and soft boundaries rather than as isolated pixels. Thus, we can fine-tune detection performance using variable confidence levels. Finally, we do not employ motion estimation and motion-compensated prediction, which reduces the complexity of our scheme considerably and makes it a good candidate for fast implementation.



**Fig. 1** Examples of dirt: (a) static text, (b) low-motion natural scene, (c) moderate-motion natural scene, and (d) fast-motion natural scene.

## 2 Related Work

Owing to its temporal characteristics, interframe processing has proved a useful tool toward detection and concealment of dirt. Consequently, a common approach is to apply temporal median filtering on the current frame and its two motion-compensated neighbors.<sup>2,5-8</sup> In Schallauer et al.,<sup>2</sup> a pixel is filtered as dirt if both its absolute differences between the current frame and the two compensated images exceed a first (higher) threshold, while at the same time the absolute difference between the two compensated images is less than a second (lower) threshold. In Kokaram,<sup>5</sup> the so-called “spike detection index” (SDI) is proposed. This is also based on the identification of high absolute differences between the current frame and two compensated images. The extended SDI method, SDIp, additionally requires sign consensus of the two differences above. Nadenau and Mitra<sup>6</sup> proposed the rank order detector (ROD), in which a total of 7 pixels from three consecutive frames are compared against three thresholds. Gangal et al.<sup>7</sup> extended ROD to five frames to improve accuracy in heavily corrupted images or occluded blotches. Poosmalen et al.<sup>8</sup> presented a simplified version of ROD, in which only one threshold was utilized, but complex postprocessing is required to remove false alarms.

Since motion-based approaches require a high degree of complexity and can be unreliable when motion estimation fails, many spatial filtering techniques have also been proposed as alternatives.<sup>3,9</sup> Most of these methods employ median filtering, as it can preserve more edges than linear filters.<sup>10</sup> Subsequently, dirt detection is based on the identification of high difference values between the current frame and the filter output. Hardie and Boncelet<sup>9</sup> proposed LUM (lower-upper-middle) filters, which utilized two parameters for adjustable smoothing and sharpening of images. Compared with soft morphological filters (SMFs), Hamid et al.<sup>3</sup> pointed out that LUM failed to restore fast-moving objects in image sequences. However, SMFs seem impractical for most applications as they require sufficient representative dirt samples for training to obtain optimal filters.

## 3 Extracting Confidence Image

Let  $f_{n-1}$ ,  $f_n$ , and  $f_{n+1}$  be three consecutive frames, we define  $d_{n-} = f_n - f_{n-1}$  and  $d_{n+} = f_n - f_{n+1}$  as the forward and backward frame differencing. Then, we define  $d_n$  as

$$d_n = \begin{cases} \frac{2d_{n-}d_{n+}}{|d_{n-}| + |d_{n+}|} & \text{if } d_{n-}d_{n+} > 0 \\ 0 & \text{otherwise.} \end{cases} \quad (1)$$

This attains its maximum value when an idealized dirt impulse occurs against a constant background, i.e., when  $d_{n-} = d_{n+}$ . If both  $d_{n-}$  and  $d_{n+}$  are negative or positive, this relates respectively to dark or bright dirt pixels (particles adhered on negative or positive film stock). For each value  $m$  in  $d_n$ , dirt probability is defined as

$$p_n(m) = \lambda_0^{-1} \int_{m_0}^m p_0(x) dx, \quad (2)$$

$$\lambda_0 + \int_0^{m_0-1} p_0(x) dx = 1, \quad (3)$$

where  $p_0$  is the original probability density function (pdf) of  $d_n$ ,  $\lambda_0$  is used to normalize  $p_n$  within  $[0, 1]$ , and  $m_0$  is used to control the removal of static background.

Note that  $m_0=0$  in Eq. (2) amounts to histogram equalization of  $d_n$ . Nevertheless, a static background in the three consecutive frames may force most pixel values in  $d_n$  near zero, thus straightforward histogram equalization is not useful in this context. Let  $\mu$ ,  $\gamma$ , and  $\sigma$  be the mean, median, and variance of the intensity distribution of  $d$ . Note that



**Fig. 3** Another group of confidence images extracted from the four images in Fig. 1.

If there is no fast motion in the sequences, we can set a higher  $c_q$  for dirt detection. Otherwise, a lower  $c_q$  is necessary to extract dirt of lower intensity from massive false alarms of higher intensity (see the bottom-right image in Fig. 3).

#### 4.1 Filtering

The difference between dirt and false alarms is that the prior is motion-independent, but the latter is mainly caused by (camera and/or object) motion. Therefore, we extract another confidence image,  $\text{Conf}'_n$ , from  $f_{n-2}$ ,  $f_n$ , and  $f_{n+2}$ , and assume that regions of dirt in the two confidence images should be more consistent than those of false alarms. For the confidence images in Fig. 2, the corresponding  $\text{Conf}'_n$  images are shown in Fig. 3.

For a predefined confidence level of  $c_q$ , we can extract two binary masks  $\text{mask}_n$  and  $\text{mask}'_n$  from the confidence images  $\text{Conf}_n$  and  $\text{Conf}'_n$ , respectively. For robustness, morphological close operation is applied to the gray-scale confidence image before extraction of the binary mask using Eq. (6). Then, all the connected regions in each mask are labeled.

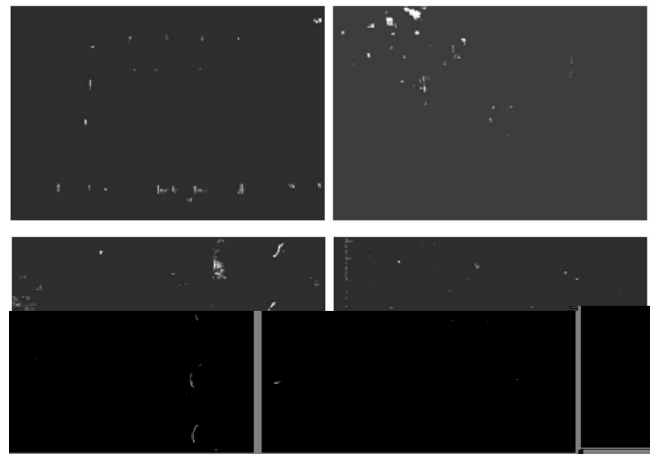
Let  $R$  and  $R'$  be two regions in  $\text{mask}_n$  and  $\text{mask}'_n$ , respectively, and  $R \cap R' \neq \text{NULL}$ . Then, two new regions  $R_2$  and  $R'_2$ , as the corresponding region of  $R$  and  $R'$ , are defined in  $\text{mask}'_n$  and  $\text{mask}_n$  as

$$\begin{cases} R_2 = R \cap \text{mask}'_n \\ R'_2 = R' \cap \text{mask}_n \end{cases} \quad (7)$$

Let  $s$ ,  $s'$ ,  $s_2$ , and  $s'_2$  be the sizes of  $R$ ,  $R'$ ,  $R_2$ , and  $R'_2$ , respectively. Then,  $R$  is a region of dirt if we have

$$\begin{cases} s_{\max} \geq s \geq s_2 \\ s' \leq \lambda_s s'_2 \end{cases} \quad (8)$$

In Eq. (8),  $\lambda_s > 1$  is a constant, and  $s_{\max}$  is the maximum area of dirt regions. In our experiments, we set  $\lambda_s = 1.6$  and  $s_{\max} = 220$ . After checking all the regions in  $\text{mask}_n$ , only those regions that satisfy Eq. (8) will remain as detected dirt.



**Fig. 4** Gray-scale images of dirt detected from the four images in Fig. 1.

#### 4.2 Confidence Attachment

Let  $B_n$  be the new binary mask obtained after removal of false alarms. Confidence information is attached to  $B_n$  to obtain a gray-scale dirt image. We avoid using  $\text{Conf}_n$  because false alarms are contained in  $d_n$  (see Sec. 3). Instead more accurate confidence images are extracted as follows.

First, a new measurement of dirt  $D_n$  is obtained as

$$D_n(i,j) = \begin{cases} d_n(i,j) & \text{if } B_n(i,j) \neq 0 \\ 0 & \text{otherwise.} \end{cases} \quad (9)$$

Then,  $D_n$  is employed to generate a new confidence image  $C_n$  in the same way as to extract  $\text{Conf}_n$  from  $d_n$ . To obtain a binary mask of dirt, finally we threshold  $C_n$  using various confidence levels, as in Eq. (6).

Figure 4 shows the gray-scale images of detected dirt from the four images in Fig. 1. From Figs. 1(a)–1(d), the predefined confidence levels (to extract  $B_n$ ) for the images in Fig. 4 are 0.9, 0.85, 0.8, and 0.7, respectively. From Fig. 4 we can see that our method produces quite accurate results in which most of the false alarms are removed from the confidence images.

### 5 Evaluation and Discussions

We compare our method with three other established algorithms, SDIp (Ref. 5), ROD (Ref. 6), and LUM (Ref. 9). For motion compensation in SDIp and ROD, the well-known Black-Anandon optical flow algorithm of subpixel accuracy is utilized.<sup>11</sup>

#### 5.1 Methods in Comparison

Let  $f_n$ ,  $C_{n-}$ , and  $C_{n+}$  be the current frame and the two motion-compensated frame neighbors. We then define  $D_{n-}$  and  $D_{n+}$  as the differences between each of these two images and the current frame, i.e.,  $D_{n-} = C_{n-} - f_n$  and  $D_{n+} = C_{n+} - f_n$ .

Using the preceding notation we subsequently consider established approaches such as SDIp (Ref. 5) and ROD (Ref. 6). In Ref. 5, dirt pixels are defined as

$$D_{\text{SDIp}} = \begin{cases} 1 & \text{if } |D_{n-}(i,j)| > t_s, |D_{n+}(i,j)| > t_s, D_{n-}D_{n+} > 0 \\ 0 & \text{otherwise.} \end{cases} \quad (10)$$

To determine dirt in ROD, three pair of pixels are extracted<sup>6</sup> from  $C_{n-}$  and  $C_{n+}$ . These 6 pixels are sorted in increasing order in a list  $[r_1, r_2, \dots, r_6]$  where  $r_6$  is the maximum. Then the median of the list is extracted as  $\text{med} = (r_3 + r_4)/2$ . If  $f_n(i, j) > \text{med}$ ,  $e_k = f_n(i, j) - r_{7-k}$ ,  $k \in [1, 3]$ , otherwise  $e_k = r_k - f_n(i, j)$ . Dirt is then detected if any  $e_k$  is greater than one of the three given thresholds  $t_k$ .

$$D_{\text{ROD}} = \begin{cases} 1 & \text{if } e_1 > t_1 \text{ or } e_2 > t_2 \text{ or } e_3 > t_3 \\ 0 & \text{otherwise.} \end{cases} \quad (11)$$

In LUM, two parameters  $k$  and  $l$  are introduced for smoothing and sharpening, respectively. Typically it holds that  $1 \leq k \leq l \leq N_0 = (N+1)/2$ , and  $N$  is the total number of pixels in a given window. Let the central pixel in the original current frame and filtered image be denoted as  $x'$  and  $y'$ , respectively, and  $x_{(1)} \leq x_{(2)} \leq \dots \leq x_{(N)}$  be the rank-ordered pixels within the window. Then, the filtered output is defined as

$$y' = \begin{cases} x^L & \text{if } x' \leq (x^L + x^U)/2 \\ x^U & \text{otherwise,} \end{cases} \quad (12)$$

where  $x^L$  and  $x^U$  are the corresponding outputs of the smoothing and sharpening processes, which are given by

$$\begin{cases} x^L = \text{median}\{x_{(k)}, x', x_{(l)}\} \\ x^U = \text{median}\{x_{(N-k+1)}, x', x_{(N-l+1)}\}. \end{cases} \quad (13)$$

In Arce,<sup>12</sup> LUM was further extended to a  $3 \times 3 \times 3$  spatial-temporal window, where we have  $N=27$  and  $k \leq 1$ . The output of the filter is given by

$$y' = \text{median}[x_{(k)}, x', x_{(N-k+1)}]. \quad (14)$$

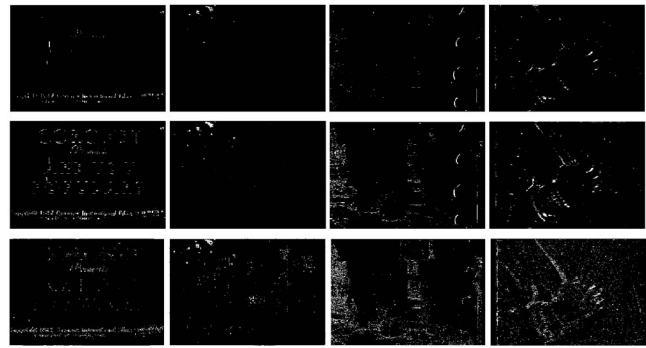
Finally, pixels of dirt are determined by

$$D_{\text{LUM}} = \begin{cases} 1 & \text{if } \text{abs}(y' - x') \geq t_p \\ 0 & \text{otherwise.} \end{cases} \quad (15)$$

## 5.2 Visual Assessment

Using SDIp ( $t_s=25$ ), ROD ( $t_1=15, t_2=25, t_3=5$ ), and LUM ( $t_p=10$ ), the detected results of dirt from the four images in Fig. 1 are shown in Fig. 5, and  $t_s, t_1, t_2, t_3$  and  $t_p$  are the relevant thresholds in the corresponding algorithms. From Fig. 5 we can see that SDIp generates fewer false alarms than ROD and LUM, but ROD produces more accurate detection than SDIp. Moreover, LUM is the worst as it has the most false alarms but the least accuracy.

In SDIp, ROD, and LUM, one or more thresholds are required for dirt detection. Normally, larger thresholds will lead to fewer false alarms but less accuracy, thus suitable thresholds are essential in dirt detection. Unfortunately, these thresholds cannot be determined automatically. In



**Fig. 5** Detected dirt from the four images in Fig. 1 using SDIp (top row), ROD (middle row), and LUM (bottom row).

Ref. 5,  $t_s$  is chosen to be within 7 to 45. In ROD, the important first threshold  $t_1$  changes from 1 to 38! Our algorithm only uses thresholds for parameters related to false alarm filtering and, more importantly, these thresholds are substantially more stable than the above with no performance loss.

Furthermore, dirt detected in our method appears as gray-scale images with attached confidence, which contains regions of dirt with higher intensities in the center and lower intensities around. This is similar to the results from Ref. 3 in which dirt is detected using a model-based structure with a hard core and soft boundaries. Therefore, decreasing confidence level will produce more detail of detected dirt in the binary masks, as it enables more soft boundaries to be detected.

## 5.3 Quantitative Assessment

We obtain a quantitative performance assessment using manually derived ground truth maps and two key criteria, namely, correct detection rate  $R_c$  and false alarm rate  $R_f$ . Let  $D_g$  be a binary mask representing the ground truth of dirt, and  $D_x$  is the binary mask of detected dirt from either SDIp, ROD, LUM, or our method. Then, we can define  $R_c$  and  $R_f$  as

$$R_c = \frac{\text{Count}(D_g \otimes D_x)}{\text{Count}(D_g)}, \quad (16)$$

$$R_f = \frac{\text{Count}(D_x \otimes \bar{D}_g)}{\text{Count}(D_x)}, \quad (17)$$

where Count is a function counting the nonzero elements in a mask and operator  $\otimes$  is the logical AND between two binary masks.

For the four sequences in Fig. 1, the quantitative comparisons of detected results from different methods are given in Table 1. Since manually derived ground truth is more reliable in identifying a whole region of dirt in spite of the soft boundaries, a relevant lower confidence level is necessary for more accuracy in our method. Therefore, dirt results detected under confidence levels of 0.7 and 0.5 are taken for comparisons. As LUM produces worst results, only SDIp and ROD are compared.



**Table 1** Quantitative performance comparison of SDIp, ROD, and our method at confidence level of  $C_q=0.7$  and  $C_q=0.5$ , respectively.

Data	Items							
	Average Correct Detection Rate				Average False Alarm Rate			
	SDIp	ROD	$C_q=0.7$	$C_q=0.5$	SDIp	ROD	$C_q=0.7$	$C_q=0.5$
Seq. 1(a)	0.371	0.659	0.578	0.643	12.55	108.49	20.64	33.53
Seq. 1(b)	0.376	0.682	0.553	0.655	0.62	30.21	3.79	7.09
Seq. 1(c)	0.512	0.802	0.795	0.888	16.60	106.8	7.96	13.66
Seq. 1(d)	0.312	0.453	0.746	0.932	22.88	46.11	4.58	6.86

From Table 1 we can see (1) on the average our method yields the most accurate detection rate and the lowest false alarm rate; (2) a lower confidence level in our algorithm will lead to a few more false alarms, but the accurate rate can be improved apparently; (3) lower detection rate is obtained for interpolated video sources, i.e., sequences of Figs. 1(a) and 1(b) resampled from 15 to 30 frames/s, this is because dirt may become no longer an ideal one-frame event after interpolation; and (4) due to failure of motion estimation, SDIp and ROD may occasionally yield very poor detection results of quite low correct rate and high false alarm rate (see Fig. 6). Figure 6 illustrates an example of frame-based performance comparison when motion estimation fails, in which the broadcast resolution ( $720 \times 576$ ) “Pennie Way” sequence from Fig. 1(d) is taken, which contains fast motion and textured background.

#### 5.4 Computational Efficiency

Furthermore, we also compared the complexity of the four algorithms. For “Pennie Way,” SDIp and ROD require about 53.93 s to process one frame, in which about 99% of the time (53.38 s) is spent for bidirectional motion estimation. Although there are motion vectors available in many compressed video streams, say MPEG-2, they are too sparse to be used in such a context. Therefore, Black-Anandan algorithm is employed for motion estimation to generate dense motion vectors (for each pixel) of subpixel accuracy. On the same machine, LUM takes about 8.5 s for each frame, and our method requires only 0.25 s, which is about 36 times and 216 times faster than LUM and SDIp (or ROD), respectively.

## 6 Conclusions

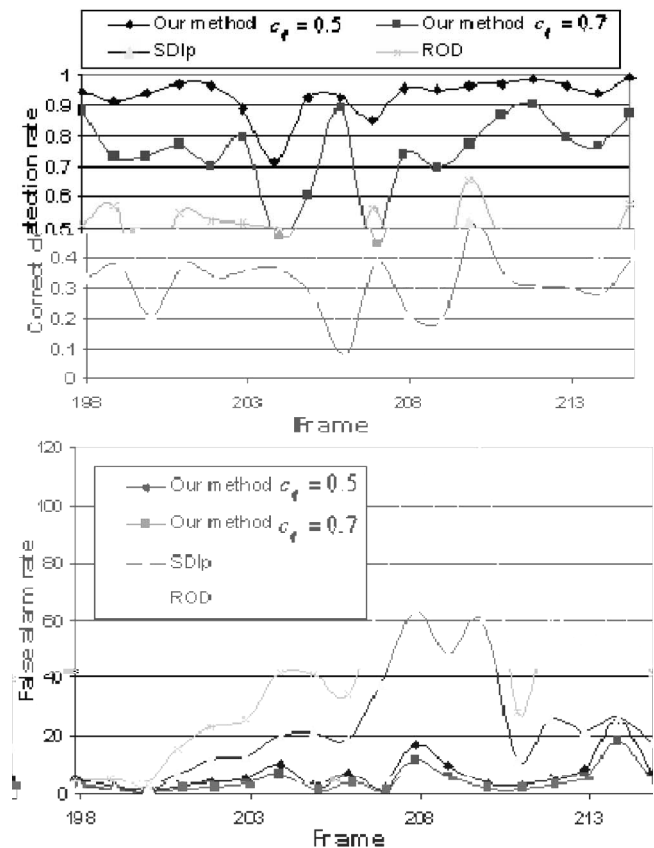
We presented a novel method for film dirt detection. One advantage of our approach in relation to techniques operating in the spatial domain is the lack of any requirement to specify the shape and size of a filter. Another relative advantage is the capability to detect dirt as a region that is consistent with actual manifestations of dirt. A key advantage is the attached confidence in the detected result, which enables fine-tuning of detector sensitivity. Finally, relative to motion estimation methods, our scheme has the much lower computational complexity.

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**Fig. 6** Frame-based quantitative comparisons of our algorithm with SDIp and ROD in terms of correct detection rate (top) and false alarm rate (bottom).

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